PTO/SB/05 (11-00)

Approved through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark C...ee: U.S. DEPARTMENT OF COMMERCE

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UTILITY **PATENT APPLICATION TRANSMITTAL** 

16869B023900 Attorney Docket No. First Inventor Matsubara, Daisuke, et. al. METHOD AND APPARATUS FOR PROVIDING A QUALITY OF Title

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Express Mail Label No. | EL 769990286 US

SERVICE PATH THROUGH NETWORKS

| $\overline{}$ |  |   | 1  |   | Assistant C    | - Patrick                     |  |  |
|---------------|--|---|--|---|----------------|-------------------------------|--|--|
|               | APPLICATION EL   |   | ADDF   | RESS TO   | Box Patent     |                               |  |  |
|               | chapter 600 concerning design paten  | <del></del>   |  |   | Washington     |                               |  |  |
| 2.  \( \)     | preferred arrangement set forth belo<br>Descriptive title of the Invention<br>Cross References to Related Applic<br>Statement Regarding Fed sponsore       | r fee processing) us. otal Pages 19 ] w) ations d R & D | <ol> <li>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>Computer Readable Form (CRF)</li> <li>Specification Sequence Listing on:         <ol> <li>CD-ROM or CD-R (2 copies); or</li> <li>paper number of pages</li> </ol> </li> </ol> |   |                |                               |  |  |
| -             | Reference to sequence listing, a tab<br>a computer program listing appendix  |   | c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  |   |                |                               |  |  |
| -             | Background of the Invention Brief Summary of the Invention Brief Description of the Drawings ( il Detailed Description Claim(s) Abstract of the Disclosure |   | 9.   | Assignment Papers (cover sheet & document(s))  37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney |                |                               |  |  |
|               |  |   |  | •   |                | · _ ·                         |  |  |
|               | *  | otal Sheets 10 ] otal Pages 1 ]                         | 12. 🗵  | ☑ Information Disclosure ☑ Copies of IDS Statement (IDS)/PTO-1449 Citations   |                |                               |  |  |
| а. 🗌          | Newly executed (original or co   | py)   | 13.  | Preliminary A   | Amendment      |                               |  |  |
| Ь. □          | Copy from a prior application (3   | 37 CFR 1.63 (d))  | 14. 🗵  | Return Receip   |                |                               |  |  |
|               | (for a continuation/divisional wi  | th Box 18 completed)                                    | 15.  | (Should be specifically itemized)  15. Certified Copy of Priority Document(s)   |                |                               |  |  |
| i. [          | DELETION OF INVENTOR   |   | 10. []   | (if foreign priority is claimed)  |                |                               |  |  |
|               | Signed statement attached deleting named in the prior application, see 1.63(d)(2) and 1.33(b).   |   | 16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent  |   |                |                               |  |  |
| 6. ⊠ App      | olication Data Sheet. See 37 CF  | R 1.76  | 17. 🗌  |   |                |                               |  |  |
| 18. If a CON  | ITINUING APPLICATION, check ap   | propriate box, and supply                               | the requisi  | ite information b   | elow and in a  | preliminary amendment,        |  |  |
|               | plication Data Sheet under 37 CFF  |   | -  |   |                |                               |  |  |
|               | inuation Divisional  | ☐ Continuation-in-part                                  | (CIP)  |   |                | :/                            |  |  |
|               | plication information: Examin  |   | of the prior :   |   | Art Unit:      | th or declaration is supplied |  |  |
| under Box     | 5b, is considered a part of the disc   | losure of the accompany                                 | ing continu  | ation or division   | al application | and is hereby incorporated by |  |  |
| reference.    | The incorporation can only be relie  | 19. CORRESPON   |  |   | ed from the su | ibmitted application parts.   |  |  |
|               |  | 19. CORRESPON   | DENCE A  | DDRESS  |                |                               |  |  |
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| Country       |  | Telephone   |  |   | Fax            |                               |  |  |
| Name (Pi      | rint/Type) Robert J. Benn  | ett   | Registration No. (Attorney/Agent) 27,533   |   |                |                               |  |  |
| Signature     | Moderal  | Kennell   |  |   | Date           | 3/22/01                       |  |  |

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| EEE TO ANOMITTAL                            |                      | Complete if Known           |  |  |  |  |
|---|----------------------|-----------------------------|--|--|--|--|
| FEE TRANSMITTAL                             | Application Number   |                             |  |  |  |  |
| for FY 2001                                 | Filing Date          |                             |  |  |  |  |
|   | First Named Inventor | Matsubara, Daisuke, et. al. |  |  |  |  |
| Patent fees are subject to annual revision. | Examiner Name        |                             |  |  |  |  |
| ,   | Group Art Unit       |                             |  |  |  |  |

| TOTAL   | - AMOL        | JNT OF       | PAYN          | IENT (\$)   | 970                   |  | Attorne     | ey Docke    | et No.  | 1686                 | 98023900   | /           |
|---|---------------|--------------|---------------|---|-----------------------|--|-------------|-------------|---|----------------------|--|-------------|
|   |               | M            | ETHOD         | OF PAYMENT  |                       |  |             |             |   | FEE C                | ALCULATION (continued)   |             |
| The Commissioner is hereby authorized to charge               |               |              |               | 3. ADDITIONAL FEES  |                       |  |             |             |   |                      |  |             |
| 1.  |               |              |               |   | ver payments to:      |  | Large       | Entity      |   | Entity               |  | _           |
| Depos   |               | 00.4         | 400           |   |                       |  | Fee<br>Code | Fee<br>(\$) | Fee<br>Code   | Fee<br>(\$)          | Fee Description  | Fee<br>Paid |
| Accou<br>Numb   |               | 20-1         | 430           |   |                       |  | 105         | 130         | 205   | 65                   | Surcharge - late filing fee or oath  |             |
| Depos   |               |              |               |   |                       |  | 127         | 50          | 227   | 25                   | Surcharge - late provisional filing fee or cover sheet.                    |             |
| Accou   |               | Towns        | end and       | Townsend and  | Crew LLP              |  | 139         | 130         | 139   | 130                  | Non-English specification  |             |
| Name  |               | L            |               |   |                       |  | 147         | 2,520       | 147   | 2,520                | For filing a request for reexamination                                     |             |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 |               |              |               |   | 112                   | 920*   | 112         | 920*        | Requesting publication of SIR prior to<br>Examiner action |                      |  |             |
|   | See 37 CF     |              |               | status.   |                       |  | 113         | 1,840*      | 113   | 1,840*               | Requesting publication of SIR after<br>Examiner action                     |             |
| 2. 🗆  | Paymer        | nt Enclos    | sed:          |   |                       |  | 115         | 110         | 215   | 55                   | Extension for reply within first month                                     |             |
| ПC  | heck          | ☐ Cred       | dit card      | ☐ Money<br>Order  | ☐ Other               |  | 116         | 390         | 216   | 195                  | Extension for reply within second month                                    |             |
|   |               |              | EEE C         | LCULATION   |                       |  | 117         | 890         | 217   | 445                  | Extension for reply within third month                                     |             |
| 1. B/   | ASIC FIL      | ING FEE      |               | COLATION  |                       |  | 118         | 1,390       | 218   | 695                  | Extension for reply within fourth month                                    |             |
| Large   | Entity S      | Small E      | ntity         |   |                       |  | 128         | 1,890       | 228   | 945                  | Extension for reply within fifth month                                     |             |
|   |               |              |               | Fee Description   |                       | Date   | 119         | 310         | 219   | 155                  | Notice of Appeal   |             |
|   | ,             |              | <b>\$</b> }   | I Itility filling for   | 710                   | Paid   | 120         | 310         | 220   | 155                  | Filing a brief in support of an appeal                                     |             |
|   | -             |              |               | Utility filing fee  | 710                   |  | 121         | 270         | 221   | 135                  | Request for oral hearing   |             |
|   |               |              |               | Design filing fee<br>Plant filing fee   |                       |  | 138         | 1,510       | 138   | 1,510                | Petition to institute a public use proceeding                              |             |
| 108   | 710 2         | 208 3        | 355           | Reissue filing fee  | •                     |  | 140         | 110         | 240   | 55                   | Petition to revive – unavoidable   |             |
| 114   | 150 2         | 214 7        | 75            | Provisional filing  | fee                   |  | 141         | 1,240       | 241   | 620                  | Petition to revive – unintentional   |             |
|   |               | CHE          | STOTAL        | (4)   | (\$)71                | <u> </u>   | 142         | 1,240       | 242   | 620                  | Utility issue fee (or reissue)   |             |
|   |               | 305          | SIOIAL        | - (1)   | [ ( <del>\$</del> )/1 | 10   | 143         | 440         | 243   | 220                  | Design issue fee   |             |
| 2. EXTRA  | A CLAIM       | I FEES       |               |   |                       |  | 144         | 600         | 244   | 300                  | Plant issue fee  |             |
|   |               |              |               |   |                       | ee   | 122         | 130         | 122   | 130                  | Petitions to the Commissioner  |             |
| otal Claims   |               | -20**        |               | Claims bel  | ow Pa                 | aid<br>O   | 123         | 50          | 123   | 50                   | Petitions related to provisional applications                              |             |
| ndependent<br>Claims  | 4             | -3**         | =             | 1 X \$  | 80 = \$8              | 30   | 126         | 180         | 126   | 180                  | Submission of Information Disclosure<br>Stmt                               | 180         |
| Multiple<br>Dependent   |               |              |               | x   | =                     |  | 581         | 40          | 581   | 40                   | Recording each patent assignment per property (times number of properties) |             |
| Large<br>Fee  | Entity<br>Fee | Small<br>Fee | Entity<br>Fee |   |                       |  | 146         | 710         | 246   | 355                  | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| Code  | (\$)          | Code         | (\$)          | Fee Descript  |                       |  | 149         | 710         | 249   | 355                  | For each additional invention to be  |             |
| 103   | 18            | 203          | 9             | Claims in exc   |                       |  |             |             |   |                      | examined (37 CFR § 1.129(b))   |             |
| 102<br>104  | 80<br>270     | 202<br>204   | 40<br>135     | Independent claims in excess of 3   |                       |  | 179         | 710         | 279   | 355                  | Request for Continued Examination (RCE)                                    |             |
| 109   | 80            | 209          | 40            | Multiple dependent claim, if not paid  ** Reissue independent claims over original patent |                       |  | 169         | 900         | 169   | 900                  | Request for expedited examination of a design application                  |             |
| 110   | 18            | 210          | 9             | • .   | ims in excess of      | 20 and   |             |             |   |                      |  |             |
| SUBTOTAL (2) (\$)80   |               |              |               |   |                       | The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. |             |             |   |                      |  |             |
| (4)5  |               |              |               |   |                       |  |             |             |   |                      |  |             |
| **or numb   | er previou    | sly paid, if | greater:      | For Reissues, se  | e above               |  | - Neudo     | eu by ba    | 310 1" HIII [   | , 1 <del>68</del> P2 | SUBTOTAL (3) (\$)180   |             |

| 1 | SUBMITTED BY      | T                 | <del>,</del>                      | Complete (if applicable) |           |              |  |
|---|-------------------|-------------------|-----------------------------------|--------------------------|-----------|--------------|--|
| ı | Name (Print/Type) | Robert J. Bennett | Registration No. (Attorney/Agent) | 27,533                   | Telephone | 415-576-0200 |  |
|   | Signature         | Total F           | Range !                           |                          | Date      | 3/22/01      |  |
|   |                   |                   |                                   |                          |           | ·            |  |

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